

<b>FORM PTO-1449</b> <b>(Rev. 2-32)</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b> (Use several sheets if necessary)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>		<b>Atty. Docket No.</b>  2265	<b>Serial No.</b>
	<b>Applicant:</b> James M. Hayes et al.			
	<b>Filing Date:</b> September 12, 2003	<b>Group:</b>		

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ET	1.	2002/0153410 A1	10/24/03	Santini			

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

ET	2.	Wireless Review, Faceless Activation, <a href="http://www.wirelessreview.com/microsites/magazinearticle.asp?mode=print&amp;magazinearti...">http://www.wirelessreview.com/microsites/magazinearticle.asp?mode=print&amp;magazinearti...</a> , printed from the World Wide Web on 3/20/03.
	3.	tespree, "Intelligent Service Manager (ISM) and Secure Instant Wireless Access <sup>SM</sup> Protocol," Technical Overview, 2002.
	4.	tespree, "tespree Intellignet Service Manager - Dynamic Device Activation and Provisioning Software for Wireless Voice & Data Networks," 2002.
	5.	tespree, "Reduce Wireless Activation Costs," <a href="http://www.tespree.com/solutions2.1_p.htm">http://www.tespree.com/solutions2.1_p.htm</a> , printed from the World Wide Web in August 2003.
	6.	tespree, "Tespree Completes Seamless Integration of Intelligent Activation and Acquisition Solution Over CDMA2000 1X Network," 12/2/03, <a href="http://www.tespree.com/release_12.02.02.htm">http://www.tespree.com/release_12.02.02.htm</a> , printed from the World Wide Web.
	7.	"Tespree announce Instant Activation Software for CDMA2000 1X Networks," <a href="http://www.3gnewsroom.com/3g_news/oct_02/news_2589.shtml">http://www.3gnewsroom.com/3g_news/oct_02/news_2589.shtml</a> , printed from the World Wide Web on 3/20/03.
	8.	tespree, "ISM Activation Manager," <a href="http://www.tespree.com/products1.2.htm">http://www.tespree.com/products1.2.htm</a> , printed from the World Wide Web on 2/18/03.
	9.	tespree, "Tespree Secure Instant Wireless Access <sup>SM</sup> (SIWA <sup>SM</sup> ) Protocol," SIWA Overview, Version 1.0, 2002.
✓	10.	WirelessWeek, "Tespree Aims to Cut Activation Costs," 10/28/02, <a href="http://www.wirelessweek.com/index.asp?layout=articlePrint&amp;articleID=CA254726">http://www.wirelessweek.com/index.asp?layout=articlePrint&amp;articleID=CA254726</a> , printed from the World Wide Web.
EXAMINER		/Ellen Tran/
		DATE CONSIDERED 02/16/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<b>FORM PTO-1449</b> (Rev. 2-32)	<b>U.S. Department of Commerce</b> <b>Patent and Trademark Office</b>	<b>Atty. Docket No.</b> 2265	<b>Serial No.</b> 10/660,957
		<b>Applicant:</b> James M. Hayes et al.	
		<b>Filing Date:</b> September 12, 2003	<b>Group:</b> 2681



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
 (Use several sheets if necessary)

**U.S. PATENT DOCUMENTS**

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ET	1.	6,580,908	6/17/03	Kroll et al.			


**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc).**

<b>EXAMINER</b> /Ellen Tran/		<b>DATE CONSIDERED</b> 02/16/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

FORM PTO-1449 (Rev. 2-32)	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No.  2265	Serial No.  10/660,957
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant: James M. Hayes et al.	
		Filing Date: September 12, 2003	Group: 2681

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
ET	1.	5,357,558	10/18/1994	Yoshikawa			
	2.	5,454,032	9/26/1995	Pinard et al.			
	3.	5,657,373	8/12/1997	Hermansson et al.			
	4.	5,699,407	12/16/1997	Nguyen			
	5.	5,805,582	9/8/1998	Snelling et al.			
	6.	5,806,000	9/8/1998	Vo et al.			
	7.	5,845,207	12/1/1998	Amin et al.			
	8.	5,943,620	8/24/1999	Boltz et al.			
	9.	6,393,275	5/21/2002	Alfred			
	10.	6,501,946	12/31/2002	Farah et al.			
	11.	6,671,523	12/30/2003	Niepel et al.			
	12.	2001/0041560	11/15/2001	Tarkiainen et al.			
✓	13.	2003/0043763	3/6/2003	Grayson			

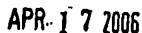
## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
ET	14.	EP 1 058 465	12/6/2000	EPO				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	/Ellen Tran/	DATE CONSIDERED	02/16/2007
----------	--------------	-----------------	------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.



Substantive Form 1449A/PTO

PTO/SB/08a (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

**Complete if Known**

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet

1

of

Application Number	10/660.957
--------------------	------------

Filing Date	September 12, 2003
-------------	--------------------

First Named Inventor	James M. Hayes
----------------------	----------------

Art Unit	2681
----------	------

**Examiner Name**

Attorney Docket Number	2265
------------------------	------

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
		Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code" <sup>5</sup> (if known)				

**Examiner  
Signature**

/Ellen Tran/

Date  
Considered

02/16/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

***If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.***

**American LegalNet, Inc.**  
**www.USCourtForms.com**